

## **Regulations governing the Heineken Prizes and Heineken Young Scientists Awards 2015**

### **Article 1: Description of prizes**

1. Once every two years, no later than in September in even-number years, the foundations referred to per prize make the following prizes available:
  - a. The Dr H.P. Heineken Prize for Biochemistry and Biophysics, including microbiology and the physiology of seed germination. This prize is made available by the Dr H.P. Heineken Foundation.
  - b. The Dr A.H. Heineken Prize for History; in recognition of an important contribution to our knowledge and understanding of history and as encouragement for further scholarly pursuit. This prize is made available by the Dr A.H. Heineken Foundation for History.
  - c. The Dr A.H. Heineken Prize for Medicine; for an achievement that has led or is expected to lead to important advances in medical treatment. This prize is made available by the Dr A.H. Heineken Foundation for Medicine.
  - d. The Dr A.H. Heineken Prize for Environmental Sciences; research in the natural sciences, technical sciences or behavioural and social sciences leading to outstanding achievement in the environmental sciences. This prize is made available by the Dr A.H. Heineken Foundation for Environmental Sciences.
  - e. The C.L. de Carvalho-Heineken Prize for Cognitive Science. A multidisciplinary contribution to our knowledge and understanding of the mechanisms and processes that underpin the cognitive functions of humans and animals. This prize is made available by the C.L. de Carvalho-Heineken Foundation for Cognitive Science.
2. Each of the prizes referred to in paragraph 1 (hereafter referred to as 'Heineken Prizes') will consist of two hundred thousand United States dollars. The Heineken Prizes will be awarded to natural persons who, in the assessment of the Royal Netherlands Academy of Arts and Sciences [Koninklijke Nederlandse Akademie voor Wetenschappen, KNAW], merit the prize for their outstanding achievement in the field in question. They are intended explicitly for scientists currently active in their field whose research still holds considerable promise for future innovative discoveries.
3. In addition, the foundations referred to in paragraph 1 will also make further prizes available every other year to young researchers: the Heineken Young Scientists Awards. Each prize will consist of a monetary award of ten thousand euros. The prizes will be awarded to five eligible researchers who have done outstanding work and in doing so have set an example for other young researchers. Candidates for these awards must have conducted their doctoral research at a Dutch research institution in one of the fields referred to in paragraph 1. Candidates must have also received their PhD no more than five years prior to the presentation of the prize or will receive their PhD no more than three months following the presentation of the prize.

### **Article 2: Duties and composition of juries**

1. The Academy Board will appoint a jury of no less than seven and no more than nine members in each field referred to in Article 1. Their duty will be to advise the Academy Board on which nominees should receive the Heineken Prizes and Heineken Young Scientist Awards.
2. A member of the Academy Board (preferably) will chair the jury. Four members at the most are foreign experts. Preferably one member of the Young Academy sits on each jury.
3. Members of the jury must honour the *Conflicts of Interest Code of Conduct relating to Prizes, Memberships and Subsidies* of the KNAW. They are not allowed to nominate candidates themselves.
4. Jury members will be appointed for the duration of three selection rounds (with the possibility for re-appointment for a maximum of three selection rounds).

5. Every jury has a retirement roster. New jury members (except the chairperson) are nominated by the chairperson.
6. The Academy Board will fill any vacancies that arise between rounds as quickly as possible.
7. The Academy Bureau will appoint one executive secretary to each jury.
8. The chairperson of the Heineken Foundation may attend jury meetings.

### **Article 3: Call for nominations**

1. The following persons and institutions will be invited to recommend individuals eligible to receive one of the Heineken Prizes to the Academy Board:
  - a. Regular members, foreign members and corresponding members of the Academy.
  - b. Academies and other scientific or scholarly institutions abroad.
  - c. The board of the Dutch Network of Women Professors (Landelijk Netwerk Vrouwelijke Hoogleraren).
  - d. Other persons and organisations.
2. The following persons and institutions will be invited to recommend persons eligible to receive one of the Heineken Young Scientist Awards to the Academy Board:
  - a. Regular members of the Academy (KNAW) and members of the Young Academy (DJA).
  - b. Deans of faculties at Dutch universities.
  - c. Directors of research institutes of the Netherlands Organisation for Scientific Research [Nederlandse Organisatie voor Wetenschappelijk Onderzoek, NWO].
  - d. Directors of research institutes of the Academy (KNAW).
3. Nominations must be submitted with the nomination form and obligatory appendices by e-mail in English. A nomination is submitted as soon as the applicant receives a confirmation of receipt from the KNAW.
4. A time schedule for the nomination and selection process has been enclosed with these regulations.

### **Article 4: Assessment**

1. The jury will use the following criteria to assess nominees for the Heineken Prizes:
  - a. Qualitative achievement, including the nominee's contribution to a particular scientific or scholarly discipline, international reputation, ability to attract young researchers, and valorisation in a broader sense.
  - b. Quantitative achievement, including number of refereed publications/books, H index (or equivalent) ranking, number of grants/prizes received, and number of PhD candidates supervised.
2. The jury will use the following criteria to assess nominees for the Heineken Young Scientist Awards:
  - a. Qualitative performance, including dissertation and list of presentations at national and international conferences.
  - b. Quantitative achievement, including list of publications.

### **Article 5: Selection process**

1. During the first meeting the jury's will make a shortlist for the Heineken Prizes. After that they will consult scientific referees about the candidates on the shortlist. During the second meeting the proposed laureates for the Heineken Prizes and the Heineken Young Scientist Awards are being selected.
2. A jury may only take decisions during meetings if more than half of its members are participating.
3. In all cases of voting, decisions will be adopted by the majority of the votes cast. Jury's should aim for consensus. In the event of a tie, the chairperson will decide.

### **Article 6: Award**

1. In order to award the Heineken Prizes and Heineken Young Scientist Awards each of the five juries will submit a proposal, supported by arguments, to the Academy Board for its approval by no later than February in the year of the presentation.

2. Once the Academy Board has decided on the award of the prizes, it will notify the boards of the foundations referred to in Article 1, paragraph 1 and paragraph 3. After that, the winners will be informed and subsequently, the result will be made public.

#### **Article 7: Presentation**

1. The prizes will be presented during a meeting convened for this purpose by the Academy.
2. The jury chairpersons or another jury member will explain the reasons for awarding the prizes.
3. The prizes will be presented by the chairperson of the board of the Heineken Foundation or by another person invited to present the prizes on behalf of the board.

#### **Article 8: Concluding provisions**

1. In any circumstance not provided for by these Regulations, the Academy Board will decide.
2. These Regulations will become effective on 1 April 2015 and replace the Regulations governing the Heineken Prizes and Heineken Young Scientists Awards adopted on 25 February 2013.

The present Regulations were adopted during the meeting of the Academy's Executive Board on 1 March 2011 and changed by the Academy's Board on 25 February 2013 and 13 April 2015.

#### **Appendix: time schedule nomination and selection process**

Before summer year of t-1	Call for nominations
September year t-1	Reminder call for nominations
October year t-1	Submission deadline nominations
November year t-1 - February year t	Selection process by jury
March year t	Decision-making by board of KNAW
April year t	Public announcement
September year t	Award ceremony

*Year t = even years, year t-1 = uneven years*